

# KLE ACADEMY OF HIGHER EDUCATION AND RESEARCH, BELAGAVI



**(Deemed-to-be-University)**

**[Established under Section 3 of the UGC Act, 1956 vide MHRD**

**G.O.I Notification No.F.9-19/2000-U.3(A)]**

Accredited 'A' Grade by NAAC (2<sup>nd</sup> Cycle)

Placed in Category 'A' by MHRD (Govt)

# CONSULTANCY POLICY

# The University

The KLE ACADEMY OF HIGHER EDUCATION & RESEARCH (KAHER) was established on 13th April, 2006 as per the Ministry of Human Resource Development, Government of India under the recommendation of University Grants Commission. The sponsoring society "The KLE Society" celebrating its centenary was established on 13th of November 1916 by "Seven Dedicated Teachers —The Saptarishis" and has under its wing 250 institutions spread across Karnataka, Maharashtra, Goa, Delhi etc.

In a short span of time the University has firmly established itself as a centre of excellence in terms of medical education, research and health care services at the national and international level. The University offers various undergraduate, postgraduate, post-doctoral, fellowship and certificate programs in the faculties of Medicine, Dentistry, Pharmacy, Ayurveda, Physiotherapy and Nursing.

In terms of infrastructure the University has excellent teaching facility, state of the art teaching hospital and medical research centre having 2400 beds, basic science research centre spread over an area of 10,000sq.ft., Wi-Fi facility all over the campus, digital library and other facilities on par with premier institutes of national and international repute. Facilities like bank, post-office, pharmacy, gym, swimming pool, indoor stadium, cafe, department store, travel booking, etc. are provided in the campus itself. Calendar of events delineating the date of examination schedule and other important curricular events are provided to every student at the beginning of academic session thus enabling the students to plan and pace their studies well in advance.

The Department of Allied Courses was established in 2007. There is expected to be a tremendous demand for allied health professionals in the years to come because of the phenomenal growth in the healthcare industry. In view of this, KAHER offers a range of allied courses in the form of undergraduate, postgraduate, fellowship, diploma, postgraduate diploma and certificate courses.



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# Research Policy

## KLE Academy of Higher Education and Research

### (KLE Deemed –to –be University)

#### 1. Preamble:

Considering the vision and mission of KLE Academy of Higher Education and Research, Belagavi, one of the major research policies includes consultancy assignment apart from active research and teaching. The deemed to be university strives to promote and enhance the external profile and the societal impact includes the expertise and research inclination of the faculty members. This creates mutually beneficial opportunities for collaborative research and consultancy services. The consultancy projects and services enrich and broaden the professional experience and knowledge of the teaching faculty. Consultancy services in an academic environment functions as a tool contributing to the national economic growth.

The consultancy by University also gives access to the excellent academic expertise of its facultymembers, to the beneficiaries for solving their problems at low costs. It is, therefore, the University's policy to encourage employees to engage in consultancy activities. For the purpose of this policy, educational materials such as textbooks, articles / papers, scholarly monographs or artistic works produce in the normal course of academic scholarship are not considered as consultancy service.

**2. Definition:**

Consultancy is defined as 'providing an expert advice / services related to implementation, analysis and interpretation, which draws upon and applies the expertise of University members of staff. The faculty member of the Institute or the department involved in consultancy are referred as consultants and the industry or private party or any other agency seeking consultancy is referred as the beneficiary.

**3. General Principles of Consultancy:**

- a. A consultancy work may be undertaken by the faculty members in their area of expertise.
- b. The consultancy services should be undertaken only with prior permission of the Institute.
- c. Consultancy should not interfere with the discharge of prime duties of the faculty- towards department and institute.
- d. In the context of consultancy services, the faculty should not directly or indirectly be associated with unethical or inappropriate activities.
- e. Consultant need to comply with rules of the institute in implementing the study.
- f. There should be proven benefit to the University from the consultancy services provided by the faculty through increased revenue, enhanced reputation, and/or broadening of expertise of the staff member.
- g. Consultancy services should not be in conflict with the interest of the Institute. Faculty should disclose conflict of interest before starting the study.
- h. Guidelines framed by the institute will be applicable for revenue sharing and reimbursement of other costs by consultant if any.

**4. Benefits of the Consultancy Policy:**

- a. Consultancy policy will help in smooth conduct of research / consultancy services as per university / institute guidelines.
- b. Help to streamline the activities related to consultancy by faculty member.
- c. Bringing transparency in relation to working of faculty while performing consultancy, revenue sharing with the institute.

**5. Rules for Consultancy:**

- a. Before taking up the responsibility of research consultancy, faculty should inform the university through institute head.
- b. Consultants can have other staff members of the university on the project to assist him.
- c. Lab technicians and students are also permitted to work with consultant on project. Involvement of students must be informed to head of the respective institutes.
- d. Consultant should have the permission of the university to make use of institute resources.
- e. Consultant must inform the university through their institute head about the need for assistance from the university in advance.
- f. All purchases for the consultancy project shall be made as per University norms.



## 6. Types of Consultancy

### Clinical trials

Consultant can undertake consultancy to implement studies funded by national and overseas agencies. In executing such projects use of university property need to be reimbursed from the project funds, adhering to the funding agency guidelines. This need to be communicated to university through head of the institution. Other staff members can also work on the project along with the consultant. Salary of all staff will be paid by the grant, depending on their role. Certain percentages of salary amount of faculty will be paid to university as per existing rule. University will also get certain percentage of total grant amount depending on funding agencies as indirects.

### Vaccine / Drug trial

Faculty can take up the consultancy to implement vaccine / drug trials sponsored by pharmaceutical companies. Tripartite clinical trial agreement should be executed before initiation of the trial. Trial agreement should have details of the budget to be sent for implementing the study. Consultants need to include institutional share in the final budget which need to be paid to the institution as per milestones. Consultant is responsible for payment of salary to staff working on the project. Subjects participating in the trial will be paid for their travel, loss of wages as per clinical trial agreement.

## 7. Revenue Sharing\*

- a. For clinical trials, 20% of the salary paid to the university faculty and indirects as per funding agency will be shared with the university / institute.
  - b. For vaccine / drug trial it will be institutional share as fixed by the institute and this percentage is applicable to certain components of the budget as per sponsor.
- \*Include taxes as applicable

## 8. Conflict of Interest and Intellectual Property

- Engagement in consultancies must not create a conflict of interest, perceived or actual.
- Any conflict of interest, actual or perceived must be reported to the relevant University Officer for resolution.
- A conflict of interest may arise where an employee engages in consultancies at the expense of the University's interests or the interests of other employees or students.
- An example of a potential conflict of interest includes, but is not limited to:
  - o financial or non-financial interests; teaching or course work for another institution;
  - o work performed for a supplier of goods or services to the University; or
  - o work undertaken with an organization to which the University supplies goods or services.
- Any intellectual property arising from any Research and Non-research Consultancies will be governed by the Intellectual Property Policy of the university.
- The consultancy agreement must contain the clause on treatment of Intellectual Property Rights to avoid any dispute later.

**Annexure-1**  
**Project Details**  
**To be submitted by the Consultant (Principal Investigator)**  
**to the University through the head of the Institution.**

| Sl.No | Particulars  | Cost |
|-------|--|------|
| 1.    | <b>Name of the Consultant:</b>   |      |
| 2.    | <b>Name of the beneficiary:</b>  |      |
| 3.    | <b>Title of the project:</b>   |      |
| 4.    | <b>Type of consultancy:</b>  |      |
| 5.    | <b>Duration of the project:</b>  |      |
| 6.    | <b>Cost for using university resources:</b>  |      |
| 7.    | <b>Budget (details need to be provided as per clinical trial agreement / sub-contract)</b> |      |
|       | <b>Date:</b> <span style="float: right;"><b>Signature of the Consultant</b></span>         |      |
|       | <b>Remarks by Head of the Institute:</b>   |      |
|       | <b>Date:</b> <span style="float: right;"><b>Signature of Head of the Institute</b></span>  |      |









J N Medical College Campus, Nehru Nagar, Belagavi-590 010. Karnataka (INDIA).

☎ 91 831 2444444 ✉ [www.kledeemeduniversity.edu.in](http://www.kledeemeduniversity.edu.in)